

# TOWARDS RELATING

---

**Program Transformation**  
**Incremental Computation**  
**Parameterized Complexity**

**Neil D. Jones**

**Professor Emeritus, University of Copenhagen**

2 relevant books:

**Computability and complexity from a programming perspective (1997)**

**Partial evaluation and automatic program generation (1993)**

# WHY THIS WORKSHOP INTERESTS ME

---

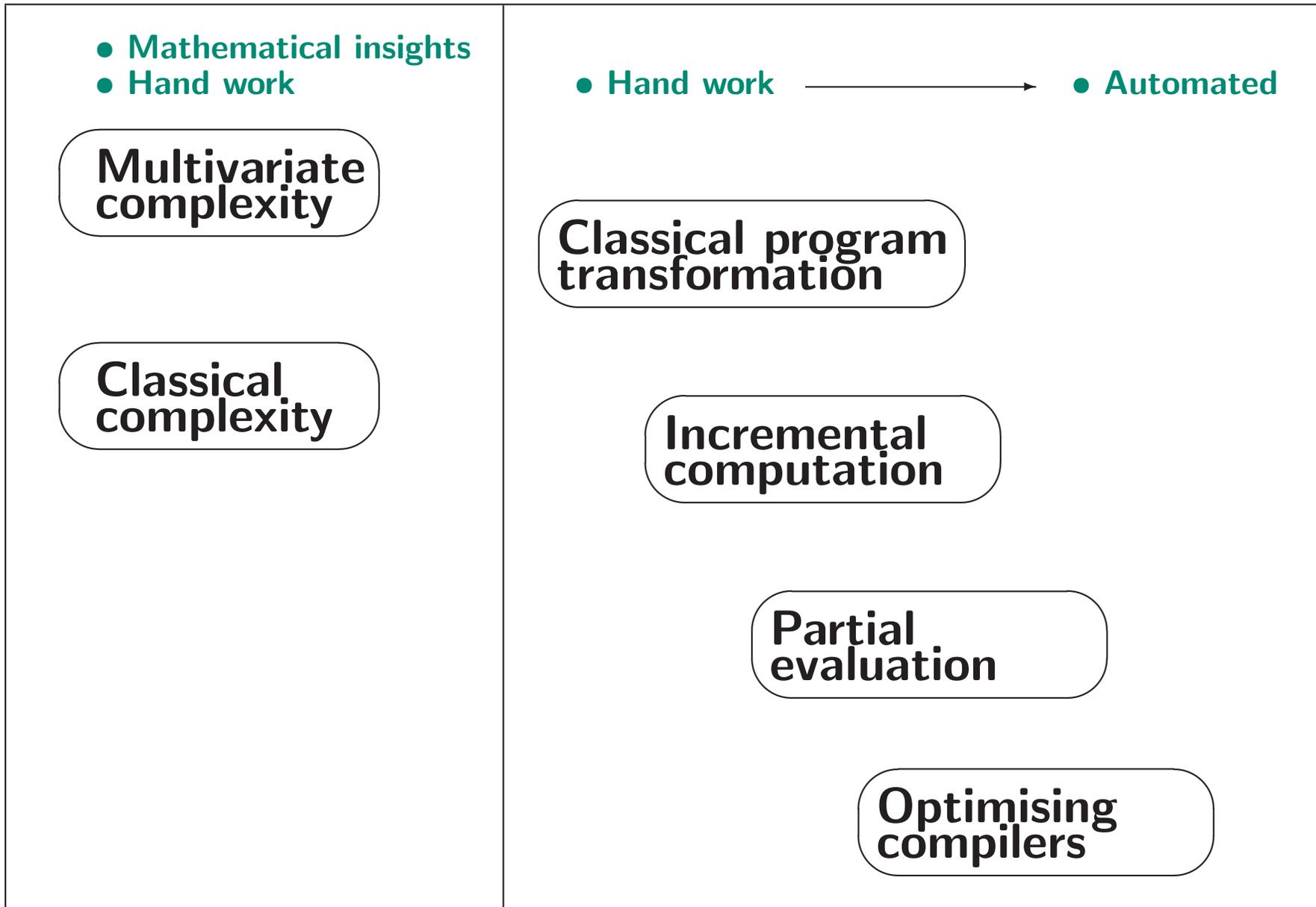
1. 1970s – 1980s: **started in classical complexity theory**
  - ▶ Problems complete for  $NLOGSPACE$ ,  $P$ TIME, etc.
  - ▶ Complexity of finite models; and then program analysis
  - ▶ Implicit complexity
2. 1980s – 1990s: **shifted to programming languages**
  - ▶ Complexity of programs with higher-order types
  - ▶ Abstract interpretation (semantics-based prog. analysis)
  - ▶ Compiler generation
  - ▶ Partial evaluation (a central concept: **binding times**)
  - ▶ Constructive uses of program **self-application**
3. 2009: heard about **parameterized complexity** from J. Flum  
looked familiar: isn't it **binding times** again??

# A "BIG PICTURE"

About PROBLEMS:

About PROGRAMS:

Speedup



# COMPLEXITY AND TRANSFORMATION ARE ABOUT...

---

Computational Complexity is about **problems**

Challenges:

- ▶ Better upper bounds = **better mathematical methods**  
to solve the same **problem**
- ▶ (almost any) lower bounds
- ▶ Better **choice of parameters**

Program Transformation is about **programs**

Challenges:

- ▶ Greater speedup = **faster ways to compute**  
the same **input/output function**
- ▶ Greater automation
- ▶ Better **program analysis tools**

# COMPUTABILITY (À LA ROGERS, A BIT GENERALISED)

---

Given: two sets:  $Pgms$  (of programs) and  $Data$ .

$$Data = Atoms \cup Data \times Data$$

1. **Semantic function:** (must be Turing-complete)

$$\llbracket \_ \rrbracket : Pgms \rightarrow Data \rightarrow Data_{\perp}$$

2. **Universal program**  $univ$  such that: (a.k.a. self-interpreter)

$$\boxed{\forall p \in Pgms \ \forall d \in Data . \llbracket p \rrbracket (d) = \llbracket univ \rrbracket (p, d)}$$

3. **Program specialiser**  $spec$

$$\boxed{\forall p \in Pgms \ \forall s, d \in Data . \llbracket p \rrbracket (s, d) = \llbracket \llbracket spec \rrbracket (p, s) \rrbracket (d)}$$

A view:  $s$  is the **parameter** and  $d$  is the **main part** of the data.  
static data dynamic data

Traditionally,  $spec$  is called an **S-1-1** program.

# PARTIAL EVALUATION: NONTRIVIAL SPECIALISATION

---

Build  $p_s = \llbracket spec \rrbracket(p, s)$  by **precomputing**  $\llbracket p \rrbracket(s, d)$ :

1. **perform** all computations of  $\llbracket p \rrbracket(s, d)$  that **depend only on  $s$**
2. **generate code** for all computations that **depend on  $d$**

▶ This can take longer to build than the trivial  $p_s$

▶ But  $p_s$  can run **substantially faster** than  $\llbracket p \rrbracket(s, d)$

**A baby example:** let  $s = 3$ , and let  $p$  be the program

```
f(n,x) = if n=0 then 1 else x * f(n-1,x)
```

Precomputing all that depends on input  $s = n = 3$  gives specialised program  $p_3$ :

```
f3(x) = x * x * x * 1
```

# HOW SPECIALISATION CAN BE DONE

---

1. **Binding time analysis** (before specialisation starts):  
annotate as “static” all parts of program  $p$  that (symbolically) **depend only on  $s$** .
2. During specialisation:
  - ▶ **Perform** all of  $p$ 's actions that were annotated as “static”
  - ▶ **Generate code** for all the remaining actions

**The baby example**, as an annotated program

$$f(n,x) = \text{if } n=0 \text{ then } 1 \text{ else } x * f(n-1,x)$$

Precomputing all the **green-annotated parts** for static input  $s = n = 3$  gives specialised program  $p_3$ :

$$f_3(x) = x * x * x * 1$$

## PARAMETERS AND DIVISIONS

---

Parameter = the **static argument** in the S-1-1 theorem:

$$\forall p \forall s, d( \llbracket p \rrbracket (s, d) = \llbracket \llbracket spec \rrbracket (p, s) \rrbracket (d) )$$

A key for multivariate complexity: **choose a good parameter**, e.g.,

- ▶ **SAT(vars)** versus
- ▶ **SAT(clause size)** versus
- ▶ **SAT(ones)**

**Analogue in partial evaluation:** to choose a good **division** (of the input data set by static and dynamic projections).

**Another parameter:**

The **interpreted program** when using an interpreter:

$$\forall p \forall d( \llbracket p \rrbracket (d) = \llbracket interp \rrbracket (p, d) )$$

# PROGRAM TRANSFORMATION BY INTERPRETER SPECIALISATION

---

**Definition A** (self-)interpreter is a program *interp* such that

$$\forall p \forall d ( \llbracket p \rrbracket (d) = \llbracket \textit{interp} \rrbracket (p, d) )$$

**1st Futamura projection:** Transform *p*  
by specialising the interpreter to *p*.

**Idea:** build

$$\textit{target} := \llbracket \textit{spec} \rrbracket (\textit{interp}, p)$$

Then for any program *p* and data *d* we have

$$\begin{aligned} \llbracket p \rrbracket (d) &= \llbracket \textit{interp} \rrbracket (p, d) && \text{By definition of interpreter} \\ &= \llbracket \llbracket \textit{spec} \rrbracket (\textit{interp}, p) \rrbracket (d) && \text{By definition of specialiser} \\ &= \llbracket \textit{target} \rrbracket (d) && \text{By definition of } \textit{target} \end{aligned}$$

**Conclusion:**  $\llbracket p \rrbracket = \llbracket \textit{target} \rrbracket$ .

# TRANSFORMATION BY SPECIALISATION

---

The transformation:

$$p \mapsto \llbracket spec \rrbracket (interp, p)$$

This is an automatic and flexible program transformation.

- ▶ It works for any  $p$
- ▶ and is (**semantics-preserving** (for all  $p$ ) if  $interp$  is a correct interpreter)

**How efficient** can  $p \mapsto p' = \llbracket spec \rrbracket (univ, p)$  be?

- ▶ Ideal:  $time_{p'}(d) \leq time_p(d)$  for any data  $d$ . If so, specialisation **has removed all interpretational overhead**.
- ▶ We call this “**optimal**” specialisation.
- ▶ Optimal specialisation **has been achieved** for several programming languages.

# LINEAR SPEEDUPS BY PROGRAM TRANSFORMATION

---

(Subtitle: multivariate functions are tricky.)

A standard example: **Knuth-Morris-Pratt pattern matcher**

$match(pat, sub) = \text{if } pat \text{ in } sub \text{ then T else F}$

Time  $O(|pat| \cdot |sub|)$ . Specialised version  $matcher_{pat}$  can run in time  $O(|sub|)$  where the  $O(-)$  does not depend on  $pat$ .

Naive matcher:

$$\exists c \forall pat \forall sub . time_{matcher}(pat, sub) \leq c \cdot |pat| \cdot |sub|$$

Effect of specialising:

$$\exists c' \forall pat \forall sub . time_{matcher_{pat}}(sub) \leq c' \cdot |sub|$$

**Linear speedup**; but **bigger for bigger static data**.

**Theorem** The speedups gained by partial evaluation (and supercompilation too) are linear-bounded in this sense.

# SUPERLINEAR SPEEDUPS BY TRANSFORMATION

---

Some superlinear speedups can be got semi-automatically by **incremental computation**; and automatically by **distillation** (Hamilton: Turchin's supercompilation carried further).

**How?** By avoiding the **repeated solution of the same subproblems**, e.g.,

- ▶ Distillation: introduce **accumulating parameters**. In essence, a **static memo table**.
- ▶ Incremental computation: use a **dynamic memo table**, as in dynamic programming.

**Challenging problems:**

- ▶ To recognise when repeated subcomputations occur.
- ▶ To generalise code so as to increase the number of repeated subcomputations.

## SOME USES OF PROGRAMS AND SELF-APPLICATION

---

**Complexity theory:** self-application via **diagonalisation** is the basis for most (all?) standard hierarchy theorems. E.g., show

$$\text{TIME}(n^2) \subsetneq \text{TIME}(2^n)$$

**Diagonalise** as in proof of unsolvability of the halting problem:

- run an input program  $p$  on itself, “with a clock”; and
- produce a result different than  $[[p]](p)$ , if  $\text{time}_p(p) \leq |p|^2$ .
- Technical trick: do this within the time bound  $2^n$ .

**Complete problems** SAT, GAP, REGALL,  $W[i]$ ,... proofs: reduce from a time/space/determinism-restricted **program/circuit**.

**Partial evaluation:** program self-application is used

- ▶ **positively** in the Futamura projections
- ▶ (rather than negatively for diagonalisation,)

to speed up program transformers, e.g., compiler generators.

## SELF-APPLICATION CAN LEAD TO SPEEDUPS

---

Yoshihiko Futamura showed **two different ways** to compile; generate a compiler; and generate a compiler generator:

Proj.

Way 1

Way 2

1.  $target \quad := \llbracket spec \rrbracket(interp, p) \quad = \llbracket compiler \rrbracket(p)$
2.  $compiler \quad := \llbracket spec \rrbracket(spec, interp) = \llbracket cogen \rrbracket(interp)$
3.  $cogen \quad := \llbracket spec \rrbracket(spec, spec) \quad = \llbracket cogen \rrbracket(spec)$

**Seen in practical computer experiments:** (first in Copenhagen)

- ▶ Each **Way 2** run is about 10 times faster than **Way 1**.
- ▶ Moral: self-application can generate programs that run faster!

# QUESTIONS, DISCUSSION ?

---